

**Figure 21.1** Illustrating cascading rollback (a process that never occurs in strict or cascadeless schedules). (a) The read and write operations of three transactions. (b) System log at point of crash. (c) Operations before the crash.

(a)	$T_1$		$T_2$		$T_3$
	read_item(A)		read_item(B)		read_item(C)
	read_item(D)		write_item(B)		write_item(B)
	write_item(D)		read_item(D)		read_item(A)
			write_item(D)		write_item(A)

$A$	$B$	$C$	$D$
30	15	40	20

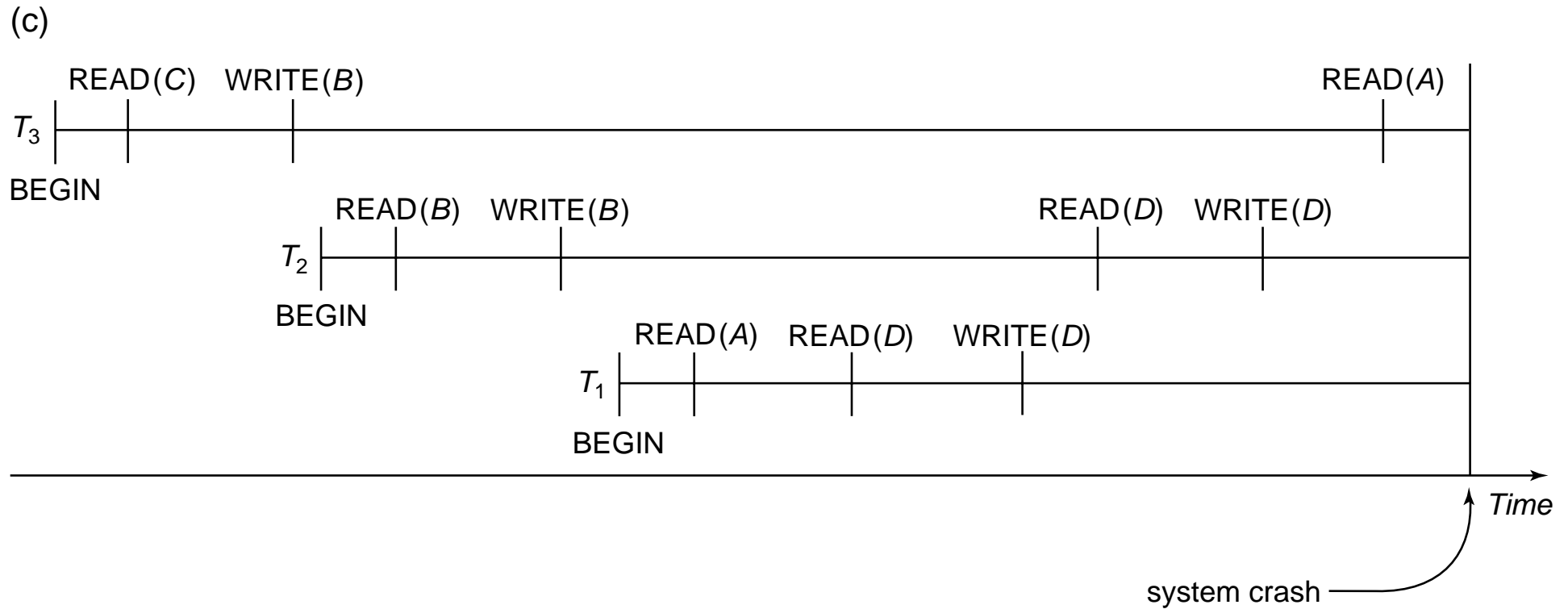
(b)	[start-transaction, $T_3$ ]			
	[read_item, $T_3, C$ ]			
*	[write_item, $T_3, B, 15, 12]$		12	
	[start-transaction, $T_2$ ]			
	[read_item, $T_2, B$ ]			
**	[write_item, $T_2, B, 12, 18]$		18	
	[start-transaction, $T_1$ ]			
	[read_item, $T_1, A$ ]			
	[read_item, $T_1, D$ ]			
	[write_item, $T_1, D, 20, 25]$			25
	[read_item, $T_2, D$ ]			
**	[write_item, $T_2, B, 25, 26]$			26
	[read_item, $T_3, A$ ]			

← system crash

\*  $T_3$  is rolled back because it did not reach its commit point.

\*\*  $T_2$  is rolled back because it reads the value of item  $B$  written by  $T_3$ .

**Figure 21.1** continued. (c) Operations before the crash.

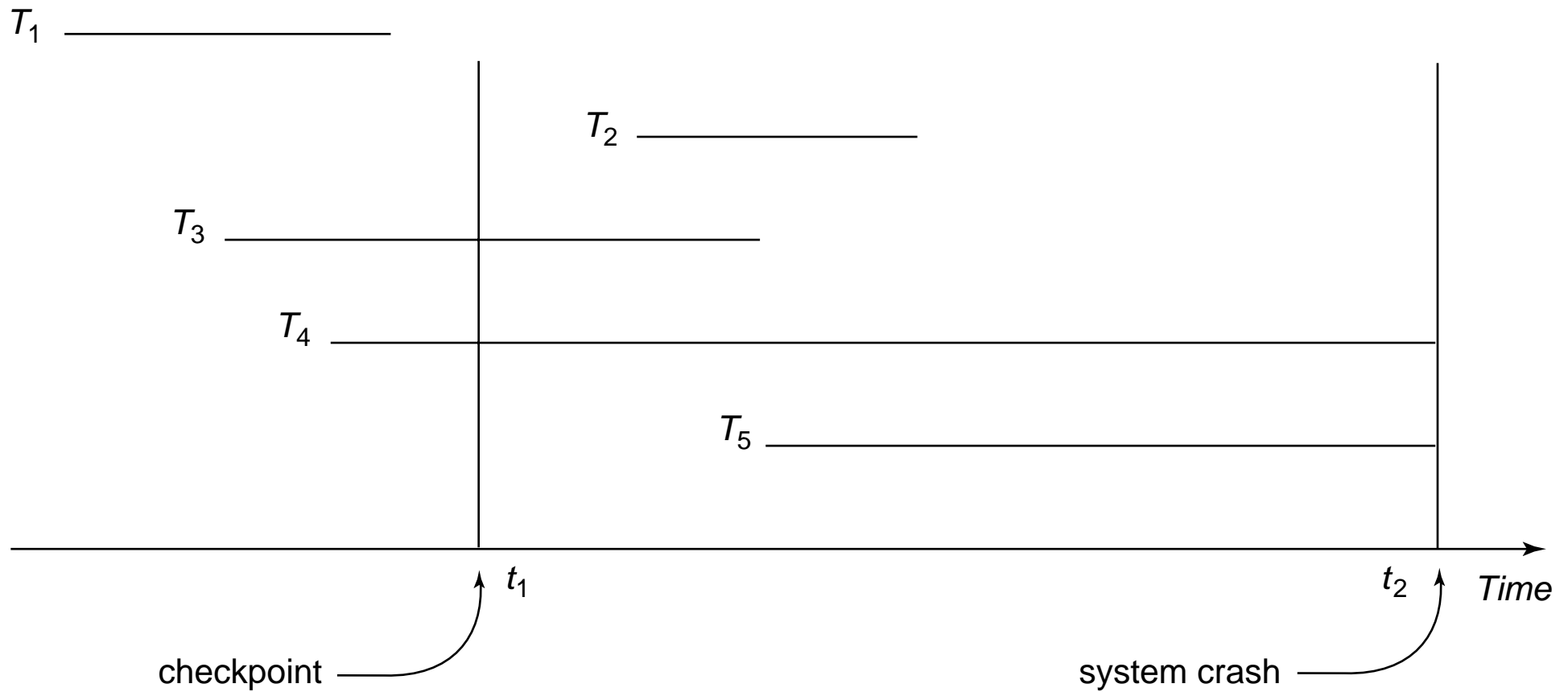


**Figure 21.2** An example of recovery using deferred update in a single-user environment. (a) The read and write operations of two transactions. (b) The system log at the point of crash.

(a)	$T_1$	$T_2$
	read_item( $A$ )	read_item( $B$ )
	read_item( $D$ )	write_item( $B$ )
	write_item( $D$ )	read_item( $D$ )
		write_item( $D$ )
(b)	[start-transaction, $T_1$ ] [write_item, $T_1, D, 20$ ] [commit, $T_1$ ] [start-transaction, $T_2$ ] [write_item, $T_2, B, 10$ ] [write_item, $T_2, D, 25$ ] ← system crash	

The [write\_item,...] operations of  $T_1$  are redone.  
 $T_2$  log entries are ignored by the recovery process.

**Figure 21.3** An example of recovery in a multiuser environment.



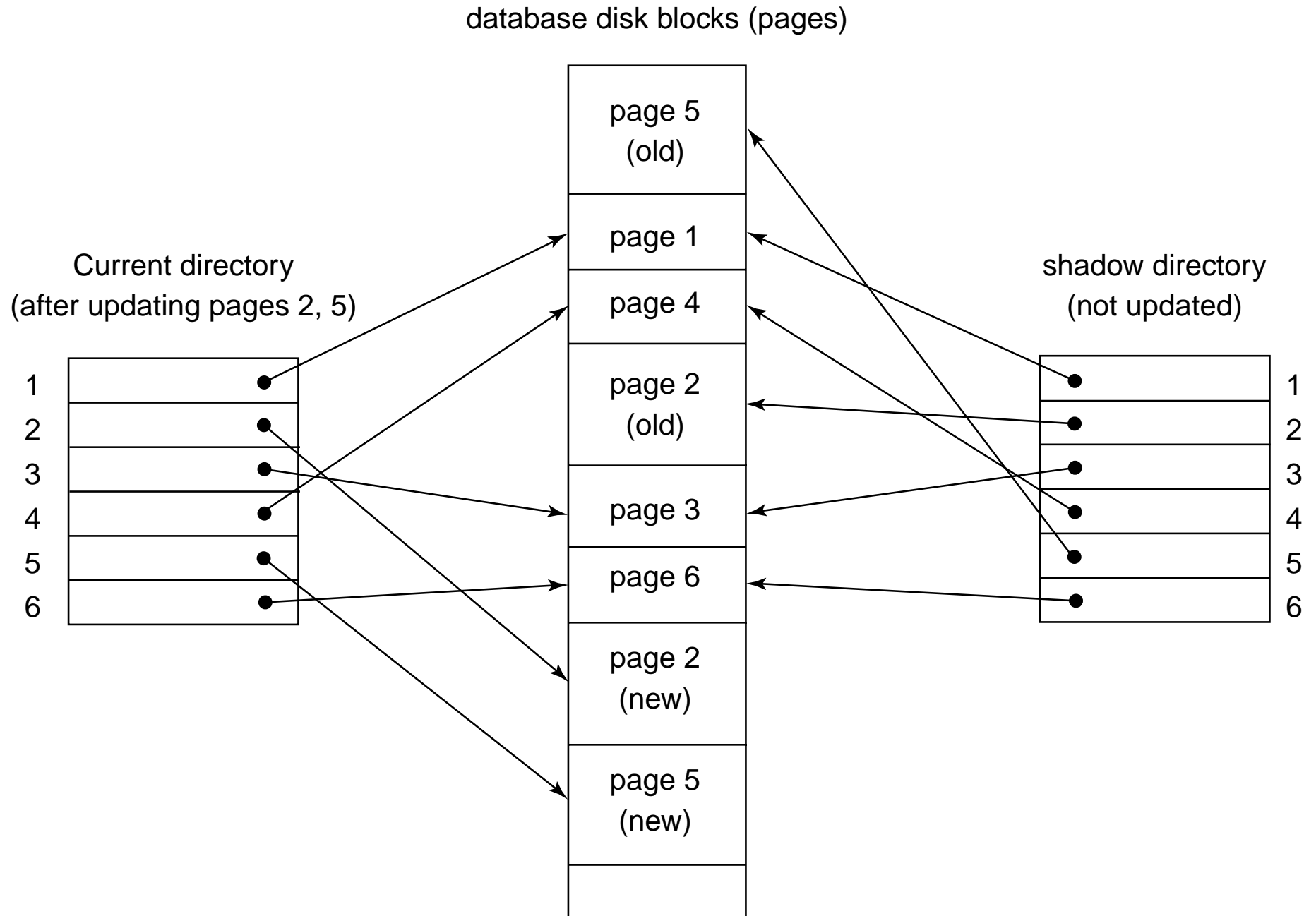
**Figure 21.4** An example of recovery using deferred update with concurrent transactions. (a) The read and write operations of four transactions. (b) System log at the point of crash.

	$T_1$	$T_2$	$T_3$	$T_4$
(a)	read_item(A) read_item(D) write_item(D)	read_item(B) write_item(B) read_item(D) write_item(D)	read_item(A) write_item(A) read_item(C) write_item(C)	read_item(B) write_item(B) read_item(A) write_item(A)

- (b)
- [start\_transaction,  $T_1$ ]
  - [write\_item,  $T_1, D, 20$ ]
  - [commit,  $T_1$ ]
  - [checkpoint]
  - [start\_transaction,  $T_4$ ]
  - [write\_item,  $T_4, B, 15$ ]
  - [write\_item,  $T_4, A, 20$ ]
  - [commit,  $T_4$ ]
  - [start\_transaction,  $T_2$ ]
  - [write\_item,  $T_2, B, 12$ ]
  - [start\_transaction,  $T_3$ ]
  - [write\_item,  $T_3, A, 30$ ]
  - [write\_item,  $T_2, D, 25$ ] ← system crash

$T_2$  and  $T_3$  are ignored because they did not reach their commit points.  
 $T_4$  is redone because its commit point is after the last system checkpoint.

**Figure 21.5** An example of shadow paging.



**Figure 21.6** An example of recovery in ARIES. (a) The log at point of crash. (b) The Transaction and Dirty Page Tables at time of checkpoint. (c) The Transaction and Dirty Page Tables after the analysis phase.

(a)

LSN	LAST_LSN	TRAN_ID	TYPE	PAGE_ID	OTHER INFORMATION
1	0	T1	update	C	...
2	0	T2	update	B	...
3	1	T1	commit		...
4	begin checkpoint				
5	end checkpoint				
6	0	T3	update	A	...
7	2	T2	update	C	...
8	7	T2	commit		...

(b)

TRANSACTION TABLE			DIRTY PAGE TABLE	
TRANSACTION ID	LAST LSN	STATUS	PAGE ID	LSN
T1	3	commit	C	1
T2	2	in progress	B	2

(c)

TRANSACTION TABLE			DIRTY PAGE TABLE	
TRANSACTION ID	LAST LSN	STATUS	PAGE ID	LSN
T1	3	commit	C	1
T2	7	commit	B	2
T3	6	in progress	A	6

## Figure 21.7 An example schedule and its corresponding log.

[start\_transaction,  $T_1$ ]  
[read\_item,  $T_1, A$ ]  
[read\_item,  $T_1, D$ ]  
[write\_item,  $T_1, D, 20$ ]  
[commit,  $T_1$ ]  
[checkpoint]  
[start\_transaction,  $T_2$ ]  
[read\_item,  $T_2, B$ ]  
[write\_item,  $T_2, B, 12$ ]  
[start\_transaction,  $T_4$ ]  
[read\_item,  $T_4, D$ ]  
[write\_item,  $T_4, D, 15$ ]  
[start\_transaction,  $T_3$ ]  
[write\_item,  $T_3, C, 30$ ]  
[read\_item,  $T_4, A$ ]  
[write\_item,  $T_4, A, 20$ ]  
[commit,  $T_4$ ]  
[read\_item,  $T_2, D$ ]  
[write\_item,  $T_2, D, 25$ ] ← system crash